Research GEMS Guidelines Commercially Sponsored Clinical Trials – CALHN Ethics Preparing Project Registration, HREA & SSA Submission

Overview

This guideline will provide the necessary information for researchers, coordinators and study personnel to submit their site specific assessments (SSA) via the Research GEMS Application. This guide is specifically for commercially sponsored clinical trials that require CALHN HREC to be the Reviewing HREC Committee for a study. Please note: All Phase 1 studies are exempt from the National Mutual Acceptance scheme within South Australia. Therefore, all Phase 1 studies that are being conducted at a CALHN site must have CALHN HREC approval.

Scope

This guideline will help to achieve the following:

- Register a project
- Submit a HREA
- Submit an SSA
- Understand the process of applications from start to authorisation

Definitions & Acronyms

- GEMS Governance and Ethics Management System
- HREA Human Research Ethics Application <u>known as HRE</u>
- SSA Site Specific Assessment <u>known as Site Application</u>
- Project Study
- PI Principal Investigator
- AI Associate Investigator

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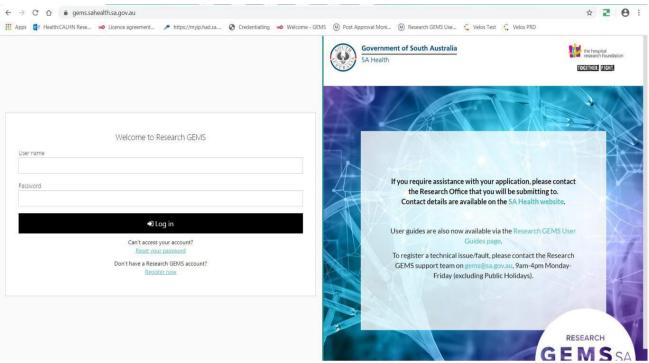
Health Central Adelaide Local Health Network

Procedures

Registering a Project

 Go to the GEMS Website located <u>here</u> <<u>https://gems.sahealth.sa.gov.au/</u>>

2. Login/Register Account



- a. If your account is set up, login with your username and password
 - If you have received an email but have not yet logged in before, click 'Reset your password' and enter the email address the original email went to
- b. If your account is not set up
 - i. Try logging in with your SA Health government email address (@sa.gov.au);
 - ii. If unsuccessful, then, click 'Register Now' and register your details using either your SA Health email or Institutional email (e.g. University email)
- c. Once you have successfully logged in, click 'Agree' to License Agreement Statement

requires exp this permiss be prosecut	licit permission from th ion, you are violating th ed to the full extent of	his system is monitored at all times and ne system administrator. If you do not have ne regulations of this system and can and will the law. are acknowledging that you are aware of
-	o these terms.	are acknowledging that you are aware of



3. Registering your Project

a. You will now have been directed to the External Portal Homepage for Researchers / Research Personnel.

Research GEMS 🧿	🔦 Decisions 🖵 Projects 🔹 Profile 🔮 Help	🖒 Sign ou
Research GEMS		
Research Applicants		
This home page will list below the 5 most recently registered projects you including associated ethics and site governance applications.	ave access to as a project/application owner or other user who has been allocated shared access by that owner. Click on the listed project link to view of	letails
If you wish to: register a new project; continue an in-progress registration	r view/manage other registered projects not listed below, select the 'Projects' icon in the menu bar above.	
Other users - CE/Delegates, HREC Members, Dept. Heads, Ext. Reviewe	i de la constante de	
Depending on your role, you may have a couple of additional icons in the r to access the area you require.	enu bar above such as 'Decision', 'Meetings' and/or 'Review' - if you have received notification that you have an activity to view in those areas, select the	related ic
User Guides available here.		
Top 5 projects	♀ Top 5 milestones due	
1 There are no records to display.	There are no records to display.	

- b. To register a project, navigate to the 'Projects' tab on the right hand corner
- c. Click 'New Project'

Research GEMS 🥲	 Decisions 	Projects	Profile	🛿 Help	එ Sign out
Projects					
GEMS is structured with the following hierarchy: Project>>>Applications>>>Post-approval/authorisation Amendments, Reports and Safety Notifications - listed bel	ow are all the pro	jects you curren	tly have acces	s to.	
In order to submit an application (ethics and/or site-governance), you must first register the project - you can do that here by selecting the +New Project button below	v.				
Project Registration will determine whether a new HREA is required for consideration by a HREC operating within SA Health services and if SSA will need to be gene	rated for researc	h to be undertak	en at sites wit	h SA Health.	The details

• You currently do not have any projects.

entered at registration pre-populate those subsequent applications.

+ New Project



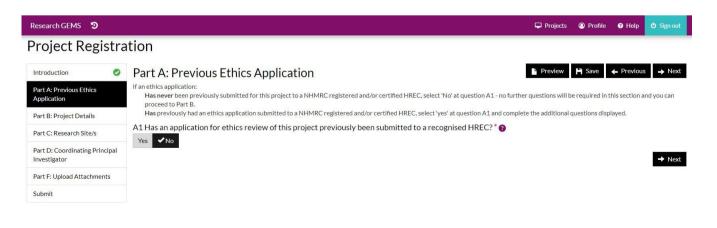
d. Select 'Project Registration'



e. This will then navigate you to the 'New Project Registration' Page on the 'Introduction' tab. Read this information, then click next.

Research GEMS 🔊	< Decisions 🖵 Projects 🐵 Profile 😝 Help 🙂 Sign ou						
Introduction	Introduction						
Part A: Previous Ethics Application	Registration of your human research project is the first step to initiation of ethics and/or site governance applications in GEMS. Please ensure you are familiar with the requirements for hum research of the relevant health jurisdiction within which your project will be undertaken before proceeding. SA Health Research Ethics						
Part B: Project Details	Information entered during registration will help identify if either an ethics application (HREA - Human Research Ethics Application) and/or site governance application/s (SSA - Site Specific						
Part C: Research Site/s	Assessment) will need to be generated by GEMS for your project.						
Part D: Coordinating Principal Investigator	Project Registration also assists in determining what else might need to be provided to complete your application/s in response to your answers to various questions as you proceed, including require attachments to your application/s. Where possible, information provided during registration will also be used to pre-populate relevant fields in subsequent applications for faster and easier completions.						
Part F: Upload Attachments	At a minimum, you will need the following information to complete Project Registration: - Basic project details e.g. title, short description, study type, sponsor type/name, HREC - Research site/s information including PI details						
Submit	- Research size/simon machine comparison of the size						
	IMPORTANT NOTES: 1. Project team members listed at project registration with project roles of Coordinating Principal Investigator (CPI) and Principal Investigator (PI) must have a GEMS user profile before you will able to complete any Project Registration and proceed to any subsequent application. Email addresses for CPI and PI/s will assist to search for their existing profile or, allow you to send a 'profile create' request during completeion of Registration. Project-level study team members and site staff, including Associate Investigators, are not listed at Project Registration. 2. Project-wide/master study documents can be added during completion of HREC (if required) however, uploading your documents during Registration may assist to ensure naming requirement are met and reduce the possibility of future duplication or requests for renaming by the Research Office. 3. You should confirm with the Research Office you are submitting too regarding local submission checklists. 4. Once submitted Project Registration and the Updated or edited. While the Research Office will be able to assist you should changes be required the system is configured to flow from information provided in this form. It is recommended that Project Registration is only submitted with correct information.						
	You can save your registration at anytime and return to it at a later date.						
	Download the Research GEMS User Guide: "Project Registration Guide"						
	To proceed, click on the 'right pointing arrow' for next page						
	→ Net						

- f. This will navigate through Tabs A-F.
- g. Part A: Previous Ethics Application





i. For Internal (CALHN) ethics > Select 'No'

h. Part B: Project Details

- i. Fill in details related to your project
- ii. Enter your Short Title or Protocol first how you want to view it later on
- iii. > click next

i. Part C: Research Site(s)

- i. This the step where you can invite other study personnel to register and have access to the project.
- ii. Click 'Invite to register'
- iii. Add another user
- iv. Enter email address (SA government or institutional email addresses)
- v. Select what access they should have
 - Share with view access will allow the user to view but not edit the project
 - Share with edit access will allow the user to be able to make changes to the project
- vi. Then click save and send
- vii. **Note:** If you make a mistake of adding someone, you can click the red trash can on the right-hand side to delete the invited user



Research GEMS 🤊		🔦 Decisions 🖵 Projects 🚳 Profile 🛛 Help 🙂 Sign out			
New Project	t Re	gistration			
Introduction	0	Part C: Research Site/s A Previous A Next			
Part A: Previous Ethics Application	0	In the tabbed sections below, you will be required to nominate the sites at which you intend to undertake the activities for the project you are registering. Depending on the details of your project, you may need to enter sites under more than one tab.			
Part B: Project Details	0	You can add a site under the required tab/s by selecting the '+' icon. For locations with SA Health, you will then select the relevant Centre/s and their associated site/s from pre-populated drop-down lists. For locations not operated by either government organisation, you will provide details as indicated.			
Part C: Research Site/s		If you wish to delete a site that you have listed below, select the tick box next to the Project Centre label and then select 4 in the gold bar below the section.			
Part D: Coordinating Prin Investigator	Coordinating Principal Before proceeding, please note: All PIs named in this section must have a GEMS user profile before you will be able to complete registration - as you enter the PI email a				
Part F: Upload Attachme	ents	If a match is found, their email address will display for you to select and their full name will be added below. As you progress, GEMS will prepopulate registration and subsequent applications with relevant details from their profile as required.			
Submit		If no match is found, leave the PI email blank and select 'Invite to Register'. This will open a dialogue box for you to add the PIs username (email address) and, when you save the dialogue box to close, your PI will receive an invite to register in GEMS at the email address you've entered. Once they can confirm they have registered their profile, come back and complete your registration In the meantime, select the next section to complete from the menu down the left-side of the page.			
		Invite to Register You must add at least one site in the below table.			
		If you are unsure of the Project Centre use this cell to search SA site names in GEMS. Once you select the Project Site the Project Centre will appear. Use this information to complete the table below.			
		Royal Adelaide Hospital Central Adelaide Local Health Network			
		SA Health Other health jurisdictions or organisations			
		Nominate the project site/s within SA Health and a Principal Investigator for each site A research project may be conducted at one or more sites within one or more Centres within SA Health. A 'Centre' may be a Local Health Network (LHN), a Specialty Health Network, a Pillar organisation, an affiliated health organisation or other health organisation operated by SA Health. A Site Specific Assessment (SSA) will be generated for each site nominated. A Principal Investigator (PI) is the person responsible either individually or as a leader of the researchers at a site, for the conduct of research at that site. In a single site research project or when a project does not require the appointment of a SA Health principal investigator, the coordinating principal investigator may also be the principal investigator. The PI is the only person who has the authority to submit the Site application. An incorrect response here may cause the application to be Ineligible and will cause delay in processing. If you are unsure of the names of the Centre or Site/s your project will be conducted at, please discuss with your local research office. An incorrect selection here can delay your application projects. Project entre * Project site *			
		Principal Investigator email (GEMS username) * 🙆 Principal Investigator name			
		$\oplus \bigcirc \circledast$			
		→ Next			

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The list of users currently assigned to this form are listed below	
• There are currently no users assigned to this form.	
• Add another user	
	✓ Save and send X Cancel
Martin and the second s	x
The list of users currently assigned to this form are listed below	

The list of user's current	y assigned to this formare ha	ted below			
Send	Name	Username	Access status	Modify access	
Add another user		Siana.Dimond@sa.gov.au User Siana.Dimond@sa.gov.au is found. A notification will be address and the user will be able to access this application	sent to this email No current access	Share with view access Share with view access Share with edit access	8 0
				✓ Save a	nd send X Cancel

viii. Select the site you will be conducting the research at, when you begin to type the site should appear in the drop down selector box. This will then prefill the local health network next to the site name,



ix. Then fill in the 'Nominate the project site/s within SA Health and a PI for each site' section

Health Other health jurisdictions or organisations		
specific Assessment (SSA) will be generated for each sit	es within one or more Centres within SA Health. cialty Health Network, a Pillar organisation, an affiliated health organ e nominated.	
when a project does not require the appointment of a S	ther individually or as a leader of the researchers at a site, for the co A Health principal investigator, the coordinating principal investigat incorrect response here may cause the application to be ineligible a pur project will be conducted at please discuss with your local resea	or may also be the principal investigator. The PI is the only person
	,	
f you are unsure of the names of the Centre or Site/s yo rocess. ² Project centre *	Project site *	
rocess.	•	
rocess.	Project site *	Principal Investigator name
Project centre * Central Adelaide Local Health Network	Project site *	
Project centre * Central Adelaide Local Health Network Principal Investigator email (GEMS username) *	Project site *	Principal Investigator name
rocess. ■ Project centre * Central Adelaide Local Health Network Principal Investigator email (GEMS username) * Siana.Dimond@sa.gov.au	Project site * Royal Adelaide Hospital	Principal Investigator name
Frocess. Froject centre Central Adelaide Local Health Network Principal Investigator email (GEMS username) Siana.Dimond@sa.gov.au Project centre	Project site *	Principal Investigator name Siana Dimond

- x. To add multiple sites, select the "+" button in the bottom left-hand corner
- xi. You must always add your site and the PI in this section to be able to create an SSA
- xii. Then click next once you have finalised your sites and PI's

j. Part D – Coordinating Principal Investigator

- i. Click 'yes' if you are the CPI or 'no' if not the CPI
 - If you selected 'no' enter the email address of the CPI
 - If you selected 'yes' this prepopulates to the account holder who is currently logged in and filling out the registration



Research GEMS 🤊					 Decisions 	Projects	Profile	🛛 Help	🖒 Sign out
New Project	Re	gistration							
Introduction Part A: Previous Ethics Application Part B: Project Details Part C: Research Site/s Part C: Coordinating Princip Investigator Part F: Upload Attachments Submit		 Part D: Coordinating Principal The Coordinating Principal Investigator (CPI) is a) In relation to research conducted at a single site, t b) in relation to research conducted at more than on research Before proceeding, please note the following detail if yy the CPI email address, GEMS will search for a match with If a match is found, their email address will display frelevant details from their profile as required. If nor address) and, when you save the dialogue box to close profile, come back and complete your registration. In Invite to Register Are you the Coordinating Principal Investigator for this The CPI is the person that holds overall responsibility for An incorrect response here WILL cause the application to the the Coordination of the cause the application to the coordination of the cause the application to the cause the cause the application to the cause thecause the cause the cause the cause the cause the cause the	the investigator for that site, or; the site, the individual, whether or n ou are not the CPI: The CPI named h a registered user. or you to select and their full name match is found, leave the CPI email se, your CPI will receive an invite t n the meantime, select the next see s project? *	In this section must have a GEMS us will be added below. As you progress blank and select 'Invite to Register', or egister in GEMS at the email addre tion to complete from the menu dow	er profile befor s, GEMS will pro This will open a ss you've enter n the left-side o	e you will be abl epopulate regist dialogue box fo ed. Once they ca of the page.	esponsibility f e to complete r ration and sub r you to add th	registration - sequent app leir username	ict of the as you enter lications with e (email
		CPI email (GEMS user name) *	CPI name	ORCID 🕐			alth Employee nly, if known)		SA Health
		Start typing to search if you selected No above.							→ Next

k. Part F – Upload Attachments

- i. Where CALHN is the Reviewing HREC please upload the:
 - Protocol
- ii. All other supporting documentation should be uploaded against the HREA.
- iii. To upload documents, select the "+" button in the bottom left-hand corner
- iv. Select what document type it is from the drop down selector
- v. In 'Document Descriptor' please insert the naming convention you prefer your document to be labelled as
- vi. Fill in, 'Version Number' (please put N/A if there is none) and then the date of the document (please do not enter a random date as this will follow through your application)
- vii. Please note: there is a maximum file size of 20.00MB per file



	egistration
oduction 🥝	Part F: Upload Attachments 🎽 Preview 🎽 Save 🔶 Previous 🍝 N
t A: Previous Ethics 🥏	F2 Other relevant documents, project-wide documents and others required for submission with HREA This section has been included at Project Registration to ensure consistent naming of frequently required documents. All documents uploaded here will be automatically added to any subsequent
t B: Project Details 🛛 🥝	ethics and/or site-specific application, as appropriate. For those registrations which require upload of a previously submitted (external) ethics application
t C: Research Site/s 🛛 🥥	Ethics approval letter (If available) Type = Ethics application decision notification, Version = 0, Date = Ethics approval date
t D: Coordinating 🥏	Approved documents can be individually uploaded or as a .zip file. If uploading individual documents Type = best available description, Version = as listed in approval letter (if none then 0), Date = as listed on approval letter (if none then today's date) If uploading as a .zip Type = Ethics application (HREA or other), Version = 0, Date = Ethics approval date letter please ensure all attachments included with the original application are include
t F: Upload Attachments	that upload. For those registrations which will submit to a SA HREC
mit	REGISTER ANY document you intend to submit to the HREC now. You can upload a draft document, documents can be updated, added and removed when completing the HREA. Type = best available description, Version = as listed on the document - usually the footer (if none or still draft then 0), Date = as listed on the document - usually the footer (if none then today date) site-specific documents are not required to be uploaded here - only project-wide, master documents. Site-specific documents will be requested when completing the relevant site application form. FOR ALL REGISTRATIONS
	 Document descriptor should be in the following format: "short description of doc type-brief unique descriptor" (PISCF-Intervention, IB-DrugName) 20 characters max Maximum document size is 20MB (larger documents can be converted to a.zip Total upload can not exceed 95MB. If your application exceeds this limit consider converting files to .zip or contact the research office managing the application for alternate document submission process. Uploading the same document multiple times e.g. Protocol at F1 and F2 may cause the system to crash.
	Document type - please select from Document descriptor - your name for the file * Document date * United the list * United terms of the file * United terms of terms
	$\oplus $
	the list * version *

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viii. Then upload the document by selecting "Select upload new" > Choose the file > Select the file > Open > Start Upload
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ix. Then click the (+) button to add upload additional documents via the same method

+ Next

x. Click 'Next' once all the documents have been uploaded

I. Submit

Ethics application decision notification

Research GEMS 🦻		🖵 Projects 🕲 Profile 🔮 Help 🔮 Signout
Project Regi	stra	ition
		Submit
Introduction	0	Submit
Part A: Previous Ethics Application	0	When you select the Complete Registration button below, GEMS will check whether your registration is complete and if so, will generate subsequent applications depending on your responses to the registration questions. If a HREA is listed below, this will be generated prior to any Site/SSA applications that might be required for site governance at SA Health site - SSA/s in this instance will be generated on
Part B: Project Details	0	submission of the HREA. If no HREA is required and a Site/SSA is to be generated for a SA Health site, the SSA will be generated immediately.
Part C: Research Site/s	0	
Part D: Coordinating Principal Investigator	0	PROJECT REGISTRATION CANNOT BE CHANGED ONCE IT IS SUBMITTED. BEFORE YOU CLICK "COMPLETE REGISTRATION" MAKE SURE YOU CAN SEE EACH TYPE OF APPLICATION YOU EXPECT TO BE CREATED IN GEMS.
Part F: Upload Attachmen	nts 🥑	If you are submitting a HREA to a SA HREC you should see "A HREA" below. If you are submitting to a SA site EACH site selected under the SA tab at Part B should be listed below.
Submit		If you do not see the information expected below please refer to the <u>Research GEMS User Guides for completing Project Registration</u>
		The following applications will be generated:
		AHREA
		SSA for each of the following SA Health sites:
		Royal Adelaide Hospital, Lauren Chartier (PI)
		Complete Registration



- i. Please double check your project registration is correct before you submit it, as you **can't** make edits to it once it has been submitted
- ii. As CALHN is the Reviewing HREC check that 'A HREA' is listed as well as an SSA to ensure you have completed the registration correctly.
- iii. When satisfied the registration information entered is correct, click 'Complete Registration'
- iv. Following submission, you will be returned to the Projects page, and your project will be viewable in a list and the status will display as 'In Progress'

Resea	arch GEMS	ື						🔦 Decisions	Projects	Profile	🕜 Help	😃 Sign out
Pro	Projects											
GEMS	is structured w	ith the following hierarc	hy: Project>>>Ap	plications>>>Post	-approval/authorisation	Amendments, Repo	orts and Safety Notifications - I	listed below are all the pro	jects you curre	ntly have acces	s to.	
In orde	er to submit an	application (ethics and/o	or site-governance), you must first reg	gister the project - you c	an do that here by s	electing the +New Project but	ton below.				
entered B	Project Registration will determine whether a new HREA is required for consideration by a HREC operating within SA Health services and if SSA will need to be generated for research to be undertaken at sites with SA Health. The details entered at registration pre-populate those subsequent applications. Below are your projects. Click the link to open and manage your project. New Project										The details	
🕹 Es	xport CSV S	now 10 🔻 entries								Search:		
	\$ Title		≜ Identifier	\$ Status	Ethics approved	Expiry date	Principal organisation	Overdue milestones	Revision	n milestones	Total r	nilestones
:	<u>029926 - Pr</u>	oject Registration		In Progress				• 0	• 0		0	
Showin	Showing 1 to 1 of 1 entries 1 Next >											

- v. You are now able to complete your HREA and then SSA.
- vi. Click on your project title which is in light blue and this will navigate you to your 'Applications' page for that project
- i. Click on your project title which is in light blue and this will navigate you to your 'Applications' page for that project
- ii. HREA can now be completed by clicking on the 2021/HRE000XX application in light blue

Research GEMS 🧿		Projects	Profile	Help 🖒 Sign out
Project	2021/GEM00123 - X			
Project details	Details relating to your Project can be found on this page.			
Applications	Once the status of an Ethics or Governance application is Approved/Authorised, various Amendments may need to be rai	ised to support y	our application.	
Contacts				
i Details	Click on the 3 vertical dots next to the relevant study, and select Project Information to access the available Post Approva	al Forms for your	application.	
Documents	For further information on other functions, such as adding new sites or sharing your application, please refer to the Resea	arch GEMS User	Guides.	
C History	Applications			
	Le Export CSV Show 10 entries		Search:	
		Created date	\$ Modi	fied date
	2021/HRE00085 x-HREA 1.00 In Progress Lauren Chartler 24/	/02/2021 08:54:	26 AM 24/02/	2021 08:54:26 AM
	Showing 1 to 1 of 1 entries		< Previ	ous 1 Next >

• Note - you can share this application with other study personnel by clicking the three dots on the left-hand side next to the application title and selecting 'Invite user to register or share application'



Research GEMS 🕲								Projects	Profile	🕑 Help	🖒 Sign out
Project	2021	L/GEM00	0123 -	х							
Project details Details relating to your Project can be found on this page.											
Applications	Once the st	tatus of an Ethics o	r Governance a	application is Appr	roved/Authoris	ed, various Ameno	lments may need to	be raised to support y	our applicatio	on.	
Contacts											
Details	Click on the	e 3 vertical dots ne	xt to the releva	int study, and sele	ct Project Info	mation to access t	he available Post Ap	proval Forms for your	application.		
Documents	For further	information on ot	her functions, s	uch as adding new	v sites or sharir	ng your application	, please refer to the	Research GEMS User	Guides.		
3 History	« Applic	cations									
		rt CSV Show 10	CSV Show 10 • entries						Search:		
		Identifier	\$ Title	Comments	Version	Status	Owner	Created date	÷	Modified dat	e
	× 1	i Application i	nformation		1.00	In Progress	Lauren Chartier	24/02/2021 08:54:	26 AM 24	4/02/2021 08	3:54:26 AM
	Showing 1	🖀 Invite user to	o register or sha	are application					<	Previous	1 Next >
		${f A}$ Rename app	lication								
		💼 Delete appli	cation								



Human Research Ethics Application

- **A.** Once you have submitted the project registration, you can proceed to creating the HREA/filing in information
 - i. **Please note:** the coordinator/research personnel can add information to the HREA, however only the CPI will be able to submit the HREA

Research GEMS 🤊	🖵 Projects 🕲 Profile 🛛 Help 💙 Signa
021/HRE000	85 - x - HREA
Introduction	Introduction
Project Overview	You are completing this HREA within GEMS for a human research project that will be considered by a HREC operating within SA Health.
Project Team	On that basis, it is assumed you have already made contact with the Research Office that will receive your application on behalf of the HREC you have elected to submit your application to. This can often assist with ensuring full awareness of the application requirements and preventing delays in application progress down the track.
Project Team Details	Contact details for all SA Health HRECs and relevant Research Offices can be found via the following link: SA Health Research Offices Contacts
(1) Lauren Chartier	Registration of your project within GEMS has been completed and resulted in generation of this form, so many of the details already entered together with documents already uploaded will be pre-
(2) Lauren Chartier	populated to assist its completion. As you work through the HREA, check that the correct information is displayed. Also, if text has been pre-populated within a 'free-text' field, you may wish to add additional information relevant to
Disclosure of Interests	your project. To further assist with submission, SA HRECs accept the electronic submission of the HREA by the CPI on behalf of the project - additional declarations/signatures are not required to submit once the
Restrictions	application is finalised. If you are not the CPI, but will be completing the HREA on their behalf, you will need them to log into GEMS ance you have finished to complete the submission.
Evaluations	In you're foluie o'r, belwin be competing die Price on beir beran, you winneed bein bing ind Gerbonice you rare misned to compete bie sobritskur.
Location	Before completing this application, the CPI must read the following statements and complete the acknowledgement below:
Methods	1) The HREA has been designed for ethics review of human research, as defined in the <u>National Statement</u> .*
Participants	The <u>National Statement</u> states that research is: "widely understated to include at least investigation undertaken to gain knowledge and understanding or to train researchers Human research is research conducted with or about
Method Specific	people, or their data or tissue". The Australian code for the responsible conduct of research (the Code) states that research includes:
Participant Specific	" the creation of new knowledge and/or the use of existing knowledge in a new and creative way so as to generate new concepts, methodologies, inventions and understandings."
Project Details	 Research excludes activities that are carried out exclusively for quality improvement, quality assurance or evaluation. Audit-type activities may be considered research if investigating a potential research question.
Risk.	 Non-research projects that will be published and some student internships may need ethics review, but not necessarily by an HREC. Contact your institution's ethics or research office for guidance on whether your project requires ethics review.
Benefit	2) Adequate resources must be available to conduct this research project. *
Data and Privacy	National Statement 1.1 (f) states that research that has merit is: "conducted using facilities and resources appropriate for the research".
Generate HREA document	 It is expected that adequate resources will be available for this research project. Resources may include: financial resources, human resources, equipment, facilities and in-kind support. Consult with your institution's ethics or research office for further advice.
Upload	3) All relevant institutional policies pertaining to the conduct of this research project should be considered and adhered to.*
HREC	 Institutions may have policies in place that relate to the conduct of research. These policies should be consulted prior to completing this application and adhered to throughout the conduct of research.
Declaration	 Consult with your institution's ethics or research office for further advice. Ensure all investigators are familiar with their institutional policies and note that if you are conducting research at multiple sites that institutional policies may differ.
Generate HREA document	4) Research activities must not commence until ethics approval (and site authorisation, if appropriate) has been provided.*
	You must not start your research project until you have received written ethics approval and site authorisation (if appropriate). This includes screening of participants and/or data collection activities.
	 5) The HREA requires the attachment of a <u>Project Description/Protocol</u>.* It is strongly recommended that you prepare the <u>Project Description/Protocol</u> before commencing this HREA. Advice on what to include in this document is available on the <u>Project Description</u> <u>Pase</u>.
	Note: You cannot complete the HREA unless you acknowledge the above statements.
	Do you accept and acknowledge these statements?*
	Acknowledge and Continue

ii. Select 'Acknowledge and Continue' and 'Next' on the Introduction page

1. Project Overview

- iii. Q1.1 Insert Project Title
- iv. Q1.2 Project summary in lay terms
- v. Q1.3 Category(ies) of research is populated from information provided in Project Registration
- vi. Q1.4 What type of institution research will be conducted in
- vii. Q1.5 Who has overall ownership of the study and data obtained
- viii. Q1.6 Insert any funding details



- ix. Q1.7 Insert anticipated start date or tick 'as soon as ethics and any other relevant approvals have been provided'
- x. Q1.8 Insert duration of study

2. Project Team

- i. This is where you will add in details about all Investigator(s) and Study Personnel
- ii. You can add more researchers to the Project Team by selecting the '+'
- iii. If you make a mistake and need to remove a Team member, select the person by clicking the large box next to their name and clicking '-'
- iv. After all Team members have been added you will need to provide more information for each investigator by selecting their name on the left-hand side under 'Project Team Details'

Research GEMS 🧐				🖵 Projects 🕲 Profile 🔮 Help 🙂 Sign out
2021/HRE0	008	5 - x - HRI	EA	
Introduction	0	Project Tea	am	Preview Previous + Next
Project Overview	0			an 10 members who are directly accountable for this ethics application can be listed on this page. You
Project Team		National State	other team members (if need be) in your <u>j</u> ement 1.1 (e) states: rch that has merit isconducted or supervised by pr	Project Description/Protocol.
Project Team Details		 In establishing 	g the research team you should ensure there is app	ropriate and sufficient expertise to undertake all the research activities.
(1) Dr Lauren Chartier	0	 Ensure that ye Description). 		nd detail their expertise, qualifications and competence in the following section, (if more than 10 members, detail in your Project
(2) Miss Siana Dimond	0	Where resear		nknown (e.g. a future class of students) this should be recorded in the <u>Project Description/Protocol</u> and the supervisor should r.
Disclosure of Interests		compress time		
Restrictions		Q1.9.1 Title Optional	e Q1.9.2 First name *	Q1.9.3 Surname/Family name *
Evaluations		□ I Dr	Lauren	Chartler
Location				
Methods		Miss	Siana	Dimond
Participants		$\oplus \ominus$		
Method Specific		 Press the '+' b 	outton to add another row for additional team mem	ibers.
Participant Specific		Tick the check	k box and press the ^Q button to remove a team mem g the grey bars to reorder the team member list.	
Project Details		You can use the	he share feature (see <u>these</u> instructions) to allow ot	ther members of the research team to complete their information in the following section.
Risk				→ Next
Benefit				

3. Disclosures of Interest

- i. Select 'No' if there are no conflicts of interest to disclose
- ii. Selecting 'Yes' will prompt some additional questions related to the disclosure



2021/HRE00085 - x - HREA

Research GEMS 🤊

Introduction	0	Disclosure of Interests Preview Preview Next
Project Overview	0	Q1.10 Do any members of the research team (including persons not listed in this application), have any financial or non-financial interests related to this
Project Team	0	research? * • You should refer to the advice of National Statement Chapter 5.4 and supplementary guidance to the Code as to what may constitute an interest.
Project Team Details		Vou should refer to institutional conflict of interest policies, as well as those of <u>NHMRC</u> , <u>ARC</u> and other relevant bodies. Persons with interests may include participant recruiters or contractors.
(1) Dr Lauren Chartier		✓Yes No.
(2) Miss Siana Dimond		Q1.10.1 Explain the nature and extent of the interests and to which member of the team they apply.*
Disclosure of Interests		Consider the guidance provided in the <u>National Statement, the Code</u> , other <u>ARC</u> and <u>NHMRC</u> resources and any relevant institutional policies. Researchers should think critically about their interests and disclose any circumstances about which they are in doubt.
Restrictions		Consult your institution for further guidance.
Evaluations		B / ⊻ S E E E E
Location		E · E · 通 通 for ref x, x' Ω
Methods		
Participants		
Method Specific		Q1.10.2 Explain how you intend to manage these interests and any potential conflicts that may arise. *
Participant Specific		 Outline what mechanisms will be put in place to ensure that the interest(s) will be appropriately managed and will not unduly interfere with or influence the conduct of the research. Refer to the expectations of <u>National Statement</u> Chapter 5.7 and supplementary guidance to <u>the Code</u> on managing interests.
Project Details		B <i>I</i> ⊻ S E Ξ Ξ Ξ
Risk		E·E·查 理 fr fr x, x' Ω
Benefit		
Data and Privacy		
Generate HREA document		➡ Next
Upload		

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4. Restrictions

- i. Select 'No' if there are no restrictions on the publication/dissemination of research outcomes
- ii. Selecting 'Yes' will prompt some additional questions related to the restrictions

Research GEMS 🤊	Ì		Projects	Profile	Help	🖞 Sign out
2021/HREC	0008	5 - x - HREA				
Introduction	0	Restrictions	Preview	🗎 Save	← Previous	→ Next
Project Overview	0	Q1.11 Are there any restrictions or limits on publication of data or dissemination of research outcomes of this projection of the second secon	t?*			
Project Team	0	 Restrictions or limits on publication of data may arise from institutional policies or through contractual obligations. Examples of restrictions include embargos and commercial-in-confidence protections. 				
Project Team Details		Refer to the expectations outlined in: National Statement 1.5.				
(1) Dr Lauren Chartier	r	 the Code and supplementary guidance, The Open Access policies of <u>NHMRC</u> and <u>ARC</u> for funded research, and 				
(2) Miss Siana Dimond	1	Relevant sponsor documentation, if applicable. Consider the guidance provided by the <u>Australian Research Data Commons</u> (ARDC).				
Disclosure of Interests	0	✓Yes No				
Restrictions		Q1.11.1 Detail the restrictions or limits on publication of data arising from the research project and explain how thes	e will be b	alanced witl	h relevant	
Evaluations		accessibility expectations.* Include in your response who is responsible for the restriction or limit and what it specifically includes.				
Location		B / 및 중 통 홈 클 클				
Methods		E·E·a a h + × × Ω				
Participants						
Method Specific						
Participant Specific						
Project Details						➡ Next

5. Evaluations

i. Select 'No' for Q1.12, Q1.13 and Q1.14 if there are no related evaluations or previous ethics review



- ii. Q1.12 selecting 'Yes' will prompt some additional questions related to the evaluations. You can also upload evidence or the outcome of this scientific evaluation, however, this is optional
- iii. Q1.13 select 'Yes' if the project has had previous ethics review by another committee, for example the Aboriginal Human Research Ethics Committee.
- iv. You can add and remove previous ethics review details by selecting the '+' and '-'

Research GEMS 😕	🖵 Projects 🕲 Profile 🛛 Help 🙂 Sign o
021/HRE000	85 - x - HREA
Introduction	Evaluations
Project Overview	
Project Team	 Review of the scientific or academic merit of the research project should be robust, formal and independent of the research and research proponents, including any sponsors of the research. If the HREC considers that appropriate review of the merit of the research project has already been conducted, <u>National Statement</u> 1.2 states that: "the question of research merit is no longer subject to the judgment of those ethically reviewing the research."
Project Team Details	 You should confirm any requirements regarding relevant review processes with the HREC to which you are applying.
(1) Dr Lauren Chartier	✓Yes No
(2) Miss Siana Dimond	Q1.12.1 What was the review process and what was the outcome?*
Disclosure of Interests	 Identify who undertook the review, the date and, where applicable, the grant scheme or funding round in which the research project was reviewed. If the outcome included conditions, outline what these were and how they have been or will be met.
Restrictions G	• Denvide any relevant information on any charger that have been made to the desire of as also far the availant to the reliant.
Evaluations	
Location	
Methods	
Participants	
1ethod Specific	Q1.12.2 Attach evidence of the outcome of the scientific or academic review process. Optional
Participant Specific	 Evidence may include an outcome letter or other formal correspondence received from the persons providing the review. Attachments are limited to 10MB in size.
Project Details	Upload New
Risk	Q1.13 Has this research project had prior ethics review? *
Benefit	 If the project has been previously reviewed it may not require re-review. Contact your institution's ethics or research office for guidance on whether your project requires ethics review.
Data and Privacy	Ves No
Generate HREA document	Provide the following details for each ethics committee that has previously reviewed the application.
Upload	
HREC	 Q1.13.1 Which ethics committee previously reviewed the application? * Provide the full, formal title of the ethics committee or reviewing body.
Declaration	Note that under the Therapeutic Goods Act 1989 an ethics committee must be registered with the NHMRC in order to approve clinical trials undertaken as part of the Clinical Trials Notification (CTN) scheme.
Generate HREA document	
	Q1.13.2 What was the outcome of the prior ethics review?*
	$\odot \ominus$

i. Q1.14 – Selecting 'Yes' will ask for details of who is conducting specialist review and when this will be sought

6. Location

- i. Q1.15 If single-site select 'No', if multi-site select 'Yes'
- ii. Q1.16 If governance approval is required for other sites (not CALHN) select 'Yes'. If all study sites are CALHN sites, select 'No'



Research GEMS 🧐	to home	🖵 Projects 🕲 Profile 🛛 Help 🙂 Sign out
Introduction	0	Location Preview Previous + Next
Project Overview	0	Q1.15 Will this research project be conducted at multiple sites? *
Project Team	0	 This is relevant where research activities are conducted at numerous locations that are governed by different organisations. For example, this is applicable where research is conducted at a number of different public hospitals or across numerous universities.
Project Team Details		Yes 🖍 No
(1) Dr Lauren Chartier		Q1.16 Will separate institutional approvals or authorisations be required prior to commencing research at each site?*
(2) Miss Siana Dimond		 This is applicable where the research occurs at multiple centres, each with their own governance and authorisation processes (e.g. different public hospitals). This is not applicable where research is occurring at multiple sites but will only require a single institutional approval (e.g. a university with multiple campuses).
Disclosure of Interests	0	Yes 🖍 No
Restrictions	0	→ Next
Evaluations	0	
Location		
Methods		
121 1212		

7. Methods

i. Select all methods that apply to the current study as per the descriptions provided

8. Participants

- i. Q1.18 Select the participants involved in the study. By selecting 'Human beings', questions about recruitment will be asked. If selecting 'Human biospecimens' or 'Data associated with human beings only', no recruitment questions will be asked.
- ii. If unsure of what to select, refer to the information provided in the table below Q1.18

Research GEMS 🦻			🖵 Projects 🕲 Profile 🛛 Help 🙂 Signout						
Introduction	0	Participants	Preview ⊨ Save ← Previous → Next						
Project Overview	0	Q1.18 Indicate with whom or with what the res	earch will be conducted *						
Project Team	0	 Tick one. Your answer to this question will have a significant impact 	on the subsequent questions in this HREA. See the Methods and Participants Checklist guidance page for further information.						
Project Team Details		Human beings (via active participation), including their associated biospecimens and/or data							
(1) Dr Lauren Chartier		Human biospecimens only O Data associated with human beings only (i.e. as the primary object of research)							
(2) Miss Siana Dimond		This is a required field							
Disclosure of Interests	0	As you have ticked this option, no recruitment questions w and Privacy Section of the HREA.	ill be asked. You should address any issues related to access to the data and consent to its use initially in the Consent Section and Data						
Restrictions	0	Human beings (via active participation), including their	This refers to any research that involves the active participation of individual human beings. It includes physical and psychological investigations, face-to-face communication, being photographed, completing a questionnaire and other means that require the participation of the human mind or body.						
Evaluations	0								
Location	0	associated biospecimens and/or data.	Select this option if you plan to collect biospecimens (for example blood samples or tissue biopsies) prospectively as only one componen of a research project that involves the active participation of human beings.						
Methods	0		Do not select this option if coronial material is the primary component of your research. This refers to any research that only involves the collection and/or use of specimens derived from individual human beings. These						
Participants		· · · · · · · · · · · · · · · · · · ·	specimens may have been taken from human beings in another context (e.g. as part of the establishment of a biobank or other research project or concurrent with a clinical procedure).						
Method Specific		Human biospecimens only On ont select this option if prospective collection of the biospecimens with consent or the use of biospecimens is only of a research project that also involves the active participation of individual human beings.							
Observational research			 Select this option if coronial material is the primary component of your research. This refers to any research that only involves the collection and/or use of information associated with individual human beings. This 						
Participant Specific			information may be obtained from an existing dataset or the research may involve the establishment of a databank or registry to collect the data. This research may involve the use of information with or without personal identifiers and it may be obtained from or associate						
Project Details		Data associated with human beings only (i.e. as the primary object of research)	with individuals or gathered in aggregate form. Select this option if human beings are being studied via an artefact such as video or photographic representations or observations taken 						
Recruitment			<i>prior to the initiation</i> of the research project.Do not select this option if prospective collection of data or the use of data is only one component of a research project that also involve						
Risk			the active participation of individual human beings.						

- iii. Q1.18.1 If all data collected is retrospective, select 'No'
- iv. Q1.19 Select any of the categories of participants involved if required. This section can be left blank if none of the specified participant populations are involved

9. Method Specific

i. The next pages will be populated according to the response provided in Q1.17 (Methods)



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Introduction	ø	Observational research Preview H Save + Previous + Next
Project Overview	0	M7.1 What type of observation will you be conducting? * • Details of the method that will be used should be included in the Project Description.
Project Team	0	B / ⊻ ♀ ⋿ Ξ ∃ ■
Project Team Details		\bullet ϕ x_{z} x^{z} Ω
(1) Dr Lauren Chartier		
(2) Miss Siana Dimond		
Disclosure of Interests	0	
Restrictions	0	M7.2 What sampling strategy will you use?**
Evaluations	0	
Location	0	田 -
Methods	0	
Participants	0	
Method Specific	0	
Observational research		M7.3 How will you match and follow up participants? **
Participant Specific		
Project Details		Ⅲ • 垣 • □ □ □ ↑ /* ×, ×' Ω
Recruitment		
Consent		
Risk		
Benefit		M7.4 How will potential sources of bias be addressed, including consideration of both the direction and magnitude of bias?**
Data and Privacy		B / ⊻ ☆ E Ξ Ξ Ⅲ E - E - 理 理 ★
Generate HREA document	:	
Upload		
HREC		
Declaration		
Generate HREA docume	nt	→ Next

10. Participant Specific

i. The next pages will be populated according to the response provided in Q1.18 (Participants)

11. Recruitment

i. If you have selected 'Human beings' in Q1.18 you will be asked the following general and method specific questions



Research GEMS 🧐			📮 Projects	Profile (?)	Help 👌 Sig	gn out
Introduction	0	Recruitment	Preview	💾 Save 🔶 Pr	evious 🔸	Next
Project Overview	0	General Observational Research				
Project Team	0	Important and extensive guidan	ce related to this section is available on the <u>Recruitment Page</u> .			
Project Team Details			information before completing this section. cruitment questions relate to targeted (intended) and likely or foreseeable recruitment, but not to incidental inclusion	n in the research of	individuals fro	m
(1) Dr Lauren Chartier		any particular group.	בי המחירות קסברוסיוט המרכינים בה קברבים (הרבוומבים) היוס וארגון ישי וסי בברביסוים דיבו מומורנות סבי ווסי כי ארגוסבורטי ארגוססוים			
(2) Miss Siana Dimond			ntify and recruit participants for your research, referencing any relevant section/s of your <u>Pro</u> e inclusion and exclusion criteria) should be considered within the Project Description/Protocol.	ject Description	/Protocol.*	*
Disclosure of Interests	0	• The information should include at a mi		an obtained)		
Restrictions	0	 Who initially approaches the part 	ticipants,		a conviced by	the
Evaluations	0	HREC,	roached (i.e. in person, via telephone, via letter, via email, via a website, via advertisements) Note: provision of templa	ates or scripts may	e required by	the
Location	0	 How participants receive recruit An indication of how much time 	ment documentation, and a potential participant has to consider participation.			
Methods	0	B I ⊻ S E E I I				
Participants	0		\times^{i} Ω			
Method Specific	0					
Observational research	0					
Participant Specific	0					
Project Details	0					
Recruitment		00401				
Consent			nt strategy take account of the ethical considerations relevant to the specific people you are re ler Element 2 of <u>National Statement</u> Chapter 3.1.	scruiting: *		
Risk		1	ns of: [list participants selected at Q1.19] who are participating in the research.			
Benefit						
Data and Privacy						
Generate HREA documen	nt					
Upload						
HREC						

Research GEMS 🤊 D Projects Profile Help 2021/HRE00085 - x - HREA Preview 🎽 Save 🔶 Previous 🔶 Next Recruitment Introduction 0 0 General Observational Research Project Overview 0 Project Team Q2.1.M7.1 How will you distinguish between participants and non-participants in your research, and how will you manage that distinction?* Project Team Details (1) Dr Lauren Chartier (2) Miss Siana Dimond 0 Disclosure of Interests 0 Restrictions 0 Evaluations Q2.1.M7.2 How will you determine whether it is appropriate to obtain consent from the people whom you are observing?* Consider the purpose of the research, the sensitivity of the behaviour observed, the intrusiveness of the observation and whether participants will know that they have been observed. 0 Location B I ⊻ S E E E E 0 Methods ≣ • ≝ • ≣ ≣ ■ + + × × Ω 0 Participants Method Specific 0 0 Observational research Participant Specific 0 Project Details 0 → Next Recruitment



12. Consent

i. Q2.2.1 – Provide details of consent related to your study and refer to sections of the Study Protocol

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Introduction	S	Conser	nt		Preview H Save + Previous + New			
Pro <mark>ject</mark> Overview	0	Consent 1	Consent 2	Alternatives to Consent				
Project Team	O	Q2.2.1 Ind	icate the rel	evant section/s of your	Project Description/Protocol that address/es consent.*			
Project Team Details				y ethical considerations asso hould be cross referenced in	clated with your consent strategy in this section of the HREA. Where this is already considered and provided in the <u>Project</u>			
(1) Dr Lauren Chartier		• Your Project	t Description/I	Protocol should include, at a				
(2) Miss Siana Dimond		• Who	will issue any ir	formation sheets and conse	nt forms,			
Disclosure of Interests	ø			participants have to conside ent from participants.	participation, and			
Restrictions	0	B / 1	2 S E	1 I I				
Evaluations	0	!≣ • }≣ •		η 🤲 X, X' Ω				
Location	ø							
Methods	0							
Participants	0	Q2.2.2 Wil	ll you be obt	aining consent from so	me or all participants to participate in the research?*			
Method Specific	0	Yes for all	l participants					
Observational research	0		ome participant ny participants					
Participant Specific	0			cope of consent that yo	u will be cooking?*			
Project Details	0	As defined i	in the <u>National</u>	Statement 2.2.14;				
Recruitment	0			nsent limited to the project consent given for the use of	under consideration, data or tissue in future research projects that are extensions of, or closely related to, the original project or in the same general area of			
Consent		researc • unspe		is consent for the use of data	or tissue in any future research.			
Risk		Specific						
Benefit		Extended						
		Unspecifi	ied					
Data and Privacy		and the second se		ent be obtained?*				
Generate HREA docume	nt	Valid consent may be obtained in a non-written form depending on the nature of the research project. In appropriate circumstances, consent may also be implied by the actions of the participant, such as completing a simple questionnaire.						
Upload		Vritten						
HREC		Verbal Implied						
Declaration			ro vou prop	oring to obtain concern	using limited disclosure?*			
Generate HREA docum	ent	• Limited disc	closure means i		nd/or methods of the research at the time of obtaining consent from participants.			
		Yes 🗸 N	lo					

→ Next

- ii. Select all tabs on the top of the page to complete this section (Consent 2, Alternatives to Consent)
- iii. If you are applying for a Waiver of Consent this will asked in the 'Alternatives to Consent Tab'



Introduction	O	Consent ► Preview H Save ← Previous → Net
Project Overview	0	Consent 1 Consent 2 Alternatives to Consent
Project Team	0	• The National Statement and privacy guidelines do not consider the opt-out approach to be a form of consent. For clarity, it is also not a form of, or equivalent to, implied consent. Rather, the opt
Project Team Details		out approach and a waiver of the requirement for consent are both alternatives to consent that, in appropriate circumstances, enable the conduct of research with human beings or using their biospecimens or data without the consent of the participants.
(1) Dr Lauren Chartier		The practice of delayed or deferred consent is not supported by the National Statement in emergency care, intensive care research or any other type of research. This practice may also not be considered legal in some States and Territories. Additionally, validation of any form of retrospective consent is contrary to the National Statement.
(2) Miss Siana Dimond		Q2.2.7 Are you proposing to use an opt-out approach with respect to some or all participants?*
Disclosure of Interests	ø	National Statement 2.3.5 states: "An opt-out approach to participant recruitment to research may be appropriate when it is feasible to contact some or all of the participants, but where the project is of such scale and
Restrictions	0	significance that using explicit consent is neither practical nor feasible".
Evaluations	0	Note that the use of an opt-out approach is not a form of consent, but is an alternative to consent.
Location	0	Yes 🖌 No
		Q2.2.8 Are you requesting a waiver of the requirement for consent with respect to some or all participants?*
Methods	0	 Note that if you are not obtaining consent from an authorised representative as per <u>National Statement</u> 4.4.13, this does not constitute 'waiver of the requirement for consent' in the sense that intended by National Statement 2.3.9-12.
Participants	0	Note that jurisdictional legislation may differ on the subject of waiver of consent
Method Specific	0	You have indicated at M2.3.3 that your use of the biospecimens is not consistent with the consent obtained at the time the biospecimens were collected. You may need to request a waiver of consent.
Observational research	0	✓Yes No
Participant Specific	0	Q2.2.8.1 How will you ensure that the research satisfies the guidance for waiving consent as listed in National Statement 2.3.10?*
Project Details	0	National Statement 2.3.10 states: "Before deciding to waive the requirement for consent (other than in the case of research aiming to expose illegal activity), an HREC or other review body must be satisfied that:
Recruitment	0	• involvement in the research carries no more than low risk (see paragraphs 2.1.6 and 2.1.7, page 18) to participants
Consent		 the benefits from the research justify any risks of harm associated with not seeking consent it is impracticable to obtain consent (for example, due to the quantity, age or accessibility of records)
Risk		 there is no known or likely reason for thinking that participants would not have consented if they had been asked there is sufficient protection of their privacy
Benefit		 there is an adequate plan to protect the confidentiality of data in case the results have significance for the participants' welfare there is, where practicable, a plan for making information arising from the research available to them (for example, via
Data and Privacy		disease-specific website or regional news media) the possibility of commercial exploitation of derivatives of the data or tissue will not deprive the participants of any financial benefits to which they would be entitled
Generate HREA documer	nt	the waiver is not prohibited by State, federal, or international law."
Upload		B / U S E Ξ Ξ Ξ Ξ - Ε - Ξ Ξ Φ # X X Ω
HREC		
Declaration		
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Introduction	0	Conse	nt		Preview 🏼 Save 🔶 Previous 🍝 N
Project Overview	0	Consent 1	Consent 2	Alternatives to Consent	
Project Team	0	Q2.2.3 Ar	e family mer	nbers, authorised repre	esentatives or any others involved in the participants' decision to participate in the research? *
Project Team Details		Regarding t	he involvement	of People with a cognitive in	mpairment, an intellectual disability, or a mental illness
(1) Dr Lauren Chartier		"Cons	ent to participat	tion in research by someone v	requirements of <u>National Statement</u> 4.5.5 which states: With a cognitive impairment, an intellectual disability, or a mental illness should be sought either from that person if he or she has the or any person or organisation authorised by Jaw."
(2) Miss Siana Dimond		202 20 20 20 20 20 20 20 20 20 20 20 20	See as the	of People in dependent or u	
Disclosure of Interests	0	National S	itatement 4.3.2	states:	
Restrictions	0				ver possible invite potential participants to discuss their participation with someone who is able to support them in making their decision able or powerless, consideration should be given to the appointment of a participant advocate."
Evaluations	0	Yes 🗸	No		
Location	0	101 5 Store Contractor			m or re-negotiate consent during the research project?*
Methods	0	• Chil	dren or young p	ing consent may be particula eople are involved,	rly appropriate when:
Participants	0			icipants changes, 1sent change, and/or	
Aethod Specific	0	• Acti	on research met	hods are used.	
Observational research	0	✓Yes	No		
Participant Specific	0	Refer to the	e relevant sectio	n/s of your Project Descript	ion/Protocol that detail the process for confirming or re-negotiating consent at Q2.2.1.
Project Details	0	Based on yo	our answer to Q	1.18, Q2.2.5 is not relevant t	to this project and has been hidden automatically by the HREA system.
Recruitment	0			thical considerations re	elated to the approach to consent that you will be seeking and your strategies for addressing and managing
	~	 these issu Include an 	ies. * iv issues related	to:	
Consent		• your	responses to th	ne questions above in this sec anding of the participants.	tion on consent,
Risk		• the i	inclusion of peop		s other than English or other literacy issues, and
Benefit			ural issues.		
)ata and Privacy					
enerate HREA document	1	District Large			
Upload					
HREC					
Declaration		<u></u>			
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13. Risk

i. Provide information on potential risks associated with this research and how these will be mitigated referencing sections of the study protocol

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2021/HRE00	800	5 - x - HREA
Introduction	0	Risk IPreview IPI Save ← Previous → Next
Project Overview	ø	General
Project Team	ø	Q2.3.1 Describe the risks and burdens associated with your research, referencing any relevant sections of your Project Description/Protocol as appropriate.
Project Team Details		
(1) Dr Lauren Chartier		You may want to outline sections of your <u>Project Description/Protocol</u> that detail risks (e.g. "Refer to Section X of the Project Description/Protocol for information about Y risk"). You must refer to the guidance and advice in <u>National Statement</u> 2.1 regarding definitions of risk and how to gauge risk.
(2) Miss Siana Dimond		 Consider whether any of the following types of harm might occur in your research and the likelihood, severity and consequence of those harms occurring: physical harm
Disclosure of Interests	ø	 psychological harm disclosure of sensitive personal information
Restrictions	0	exposure of illegal activity economic harm
Evaluations	0	discrimination, stigma or other social harm devaluation or harassment
Location	0	familial distress harm to any member of a vulnerable population (see National Statement Section 4)
Methods	G	reputational harm
Participants	0	Consider whether your research is likely to result in discomfort or inconvenience and how this might occur. Include risks to and burdens on participants, researchers and third parties (individuals or groups).
Method Specific	0	• Consider the multiple levels of personal relationships that may arise during research (especially in ethnographic research or research using the participant-observation or other observational methods) and their impact upon participants, researchers and third parties.
Observational research	0	Consider whether there are any concerns that might be relevant to the research project regarding political or institutional sensitivities. Consider whether any combination of methods being used in this research might lead to additional risks.
Participant Specific	0	B / ⊻ ♀ ⋿ Ξ ∃ ■
Project Details	0	E-E-遭 遭 * * ×, ×' Ω
Recruitment	0	
Consent	0	
Risk		
Benefit		
Data and Privacy		
Generate HREA documen	t	Q2.3.2 Describe how these risks will be mitigated and managed.* Consider the guidance and advice in <u>National Statement</u> Chapter 2.1 regarding managing risks.
Upload		B / ⊻ \$ E Ξ Ξ ■
HREC		E-E-理 理 か か X, X' Ω
Declaration		
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14. Benefit

 Provide information on potential benefits associated with this research and how these will be justified and managed referencing sections of the study protocol

Research GEMS 🔊		🖵 Projects 🕲 Profile 🛛 Help 🙂 Sign out
2021/HRE00	008	5 - x - HREA
Introduction	G	Benefit ► Preview ► Save ← Previous → Next
Project Overview	0	Q2.4.1 Describe the benefits associated with your research, referencing any relevant sections of your <u>Project Description/Protocol</u> as appropriate.*
Project Team	0	 You will be asked about any ethical considerations associated with the benefits of your research project in this section. Where this is already considered and provided in the Project Description/Protocol, this should be cross referenced in the application.
Project Team Details		 Include benefits, if any, to participants, to groups and communities, to society, to the advancement of knowledge and to researchers. Include any benefits accruing from the possible availability of the intervention after completion of the project.
(1) Dr Lauren Chartier		B / ⊻ ♀ ⋿ Ξ ∃ ■
(2) Miss Siana Dimond		E·E·a a b f x, x' Ω
Disclosure of Interests	0	
Restrictions	ø	
Evaluations	ø	
Location	ø	
Methods	0	
Participants	0	Q2.4.2 Explain how the benefits of this research justify any risks or burdens associated with the research.*
Method Specific	0	B I ⊻ S E 至 Ξ ■ Ξ + E + 理 理 か / x, x' Ω
Observational research	0	
Participant Specific	0	
Project Details	0	
Recruitment	0	
Consent	0	
Risk	0	Q2.4.3 How will you manage participants' expectations of the perceived benefit of participating in the research?*
Benefit		Consider both expectations of benefits that are not likely to eventuate and expectations of benefits that can reasonably be expected to eventuate, but where there may be a misperception as to the extent of those benefits. For example, therapeutic misconception in clinical research.
Data and Privacy		B / ⊻ ♀ ⋿ Ξ ∃ ■
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HREC		
Declaration		
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15. Data and Privacy

- i. Data Characteristics these questions are related to the type of data collected and used throughout the research project. i.e. Personal, health, identifiable, deidentifiable information
- ii. Select all that apply
- iii. Q3.6 Selecting a database as a source of information will prompt Q3.6.1 relating to data custodian approval

Q3.6.1 Has the data custodian/s, if any, agreed to provide access to the data for use in the proposed research?*

• Approval to release data may be granted by a data custodian prior to, or subject to, ethics approval being obtained. If ethics approval is a precondition for applying for data custodian approval, select 'data custodian has not provided approval'.

If there is no data custodian, select 'no data custodian identified',

Data custodian has approved access to data

Data custodian has not provided approval

No data custodian identified

iv. Activities with Data – provide information on any sharing of data to third parties and privacy/confidentiality considerations



v. Refer to relevant sections of the Study Protocol

16. Generate HREA document

- i. The HREA document can only be generated if all sections on the left-hand side have green ticks next to it
- ii. A red cross indicates that there is a blank field, or something is not completed in that section
- iii. Select 'Next'

Research GEMS 🛛 🤊			Projects	Profile	😮 Help	😃 Sign ou			
2021/HRE0	008	5 - x - HREA							
Introduction	0	Generate HREA document	Preview	🗎 Save	+ Previous	→ Ne			
Project Overview	0	To generate your HREA document: 1) Ensure that each of the page names in the left-hand menu are green. Any orange pages indicate pages that com	tain unanswered questions						
Project Team	0	 2) On the Upload Page, check that your Project Description/Protocol and any other relevant documents associate 3) Identify the HREC or ethics review body that you will send your application to. 							
Project Team Details		4) Complete the declaration.							
(1) Dr Lauren Chartier		5) Generate your HREA document.				→ Ne			
(2) Miss Siana Dimond									
Disclosure of Interests	0								
Restrictions	0								
Evaluations	0								



17. Upload

- i. <u>Attach all documents related to your application</u> (Participant Information and Consent Form, validated questionnaires, interview guides, data collection spreadsheets etc)
- ii. Select the type of document using the dropdown and include short description (i.e. protocol), version number and document date
- iii. You can add and remove documents by selecting the '+' and '-'
- iv. The Project Registration will be automatically attached
- v. <u>All study documents must be uploaded. as these will flow</u> through to the document list on the ethics approval.

					Projects	O Profile	Help	Sign out
Introduction	0	Upload			Preview	H Save	+ Previous	→ Next
Project Overview	0	Q4.1 Attach the Project Descrip						
Project Team	0	 It is recommended that you use one of Individual attachments are limited to 	f the templates provided in the HREA for your <u>Project I</u> 10 MB in size.	Description/Protocol.				
Project Team Details		Clear content selection (tracked_CALHN HREC MINUTES - 10	December 2020.docx) [Open]					
(1) Dr Lauren Chartier		Q4.2 Are there any other releva	ant documents associated with conducting y	our research project? *				
(2) Miss Siana Dimond		 This may include attachment of: participant information and con 	sent forms					
Disclosure of Interests	0	• questionnaires,						
Restrictions	0	 report forms, advertising materials, 						
Evaluations	0	 data management plans (see <u>Na</u> ethically defensible plans for the 	<u>itional Statement</u> Chapter 3.1), e communication of research findings or results to part	cipants (see National Statement (Chapters 3.1. 3.2 and 3.3. as an	propriate, for	guidance on the	e content o
and the Second		ethically defensible plans),	rs of support or other clearances, and/or				-	
Location	0		is of support of other clearances, and/or tation specific to your institution and/or jurisdiction.					
Methods	0	Consult with your institution's research	ch/ethics office for advice on the necessary documenta	tion.				
Participants	0	✓Yes No						
Method Specific	0	Attach any other relevant docu	ments associated with conducting your rese	arch project.				
Method Specific Observational research	0	• Ensure that you give meaningful and u	unique names to your files before uploading them.	arch project.				
			unique names to your files before uploading them. each file at Q4.2.2. 10MB in size.	arch project.				
Observational research	0	Ensure that you give meaningful and t Provide a meaningful description for e Individual attachments are limited to The cumulative size for all attachment	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. Is must not exceed 95 MB.					
Observational research Participant Specific	0 0	 Ensure that you give meaningful and u Provide a meaningful description for e Individual attachments are limited to 	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size.	arch project. Document version*	Document date *	Q4.2.1 U	Ipload attachme	ent*
Observational research Participant Specific Project Details	000	Ensure that you give meaningful and u Provide a meaningful description for e Individual attachments are limited to The cumulative size for all attachment Document Type *	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. Is must not exceed 95 MB.	Document		Q4.2.1 U Upload		ent *
Observational research Participant Specific Project Details Recruitment	0000	Ensure that you give meaningful and u Provide a meaningful description for e Individual attachments are limited to The cumulative size for all attachment Document Type * Study Protocol	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. ts must not exceed 95 MB. Q4.2.2 Description of attachment*	Document version*				ent *
Observational research Participant Specific Project Details Recruitment Consent	00000	Ensure that you give meaningful and u Provide a meaningful description for e Individual attachments are limited to The cumulative size for all attachment Document Type *	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. ts must not exceed 95 MB. Q4.2.2 Description of attachment*	Document version*				ent*
Observational research Participant Specific Project Details Recruitment Consent Risk	000000	Ensure that you give meaningful and u Provide a meaningful description for a Individual attachments are limited to The cumulative size for all attachment Document Type * Study Protocol Press the '+' button to add anothe Tick the check box and press the '+'	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. ts must not exceed 95 MB. Q4.2.2 Description of attachment * Study Potocol er row for additional team members. button to remove a team member.	Document version*				int *
Observational research Participant Specific Project Details Recruitment Consent Risk Benefit	0000000	Ensure that you give meaningful and u Provide a meaningful description for e Individual attachments are limited to The cumulative size for all attachment Document Type * Study Protocol O Press the '+' button to add anothe	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. ts must not exceed 95 MB. Q4.2.2 Description of attachment * Study Potocol er row for additional team members. button to remove a team member. arder the attached documents.	Document version*				int *
Observational research Participant Specific Project Details Recruitment Consent Risk Benefit Data and Privacy	0000000	Ensure that you give meaningful and u Provide a meaningful description for a Individual attachments are limited to The cumulative size for all attachment Document Type* Study Protocol Press the "+" button to add anothe Tick the check box and press the "- Click and drag the grey bars to receive the series of the s	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. ts must not exceed 95 MB. Q4.2.2 Description of attachment* Study Potocol er row for additional team members. ² button to remove a team member. order the attached documents. ration form.	Document version*				ent *
Observational research Participant Specific Project Details Recruitment Consent Risk Benefit Data and Privacy Generate HREA documen	0000000	Ensure that you give meaningful and u Provide a meaningful description for e Individual attachments are limited to The cumulative size for all attachment Document Type* Study Protocol Press the '+' button to add anothe Tick the check box and press the ' Click and drag the grey bars to ree Q4.2.3 Attached Project Registre	unique names to your files before uploading them. each file at Q4.2.2. 10MB in size. ts must not exceed 95 MB. Q4.2.2 Description of attachment* Study Potocol er row for additional team members. ² button to remove a team member. order the attached documents. ration form.	Document version*				nt*



18. HREC

- i. Q4.3 and Q4.4 Select Central Adelaide Local Health Network from the dropdown
- ii. HREC contact details will be automatically populated
- iii. Q4.5 Select 'Greater than low risk review pathway' for full HREC submissions

Research GEMS 3 Projects Profile Help 2021/HRE00085 - x - HREA Preview 🎽 Save 🔶 Previous 🔶 Next Introduction 0 HREC • Indicate the institution and HREC/ethics review body to which you will submit your ethics application - only those HRECs accepting HREA via GEMS are listed. Project Overview Ø A HREA created in GEMS will be made available electronically to HRECs within SA Public Health Organisations - these are the only HRECs that utilise GEMS Indicate Project Team 0 Q4.3 Select the Organisation that hosts the HREC or other review body.* Central Adelaide Local Health Network Project Team Details Q4.4 Select the HREC or other body to which you are applying from the list below.* (1) Dr Lauren Chartier • The HRECs and other review bodies available in the list below are filtered by the Organisation you have selected above (2) Miss Siana Dimond Central Adelaide Local Health Network HREC Disclosure of Interests 0 HREC or Review Body Contact Information Restrictions 0 You may wish to seek advice from the HREC or Organisation's review body before finalising and submitting your application. Their contact information is outlined below Organisation Evaluations Central Adelaide Local Health Network HREC 0 Location Contact phone number Contact email address 0 Methods ++61(08) 71172229 Health.CALHNResearchEthics@sa.gov.au Q4.5 Under which review pathway are you intending to submit this application?* Participants 0 Before answering this question, consider the guidance provided by the institution to which you are applying and contact the ethics or research office for advice. 0 Method Specific Greater than low risk review pathway 0 Observational research Note: The institution to which you are applying will review your application and determine the level of risk of the research project. Your answer to this question will only inform them of the intended review pathway 0 Participant Specific Q4.6 Will this application be reviewed under the National Mutual Acceptance scheme?* Project Details 0 Yes 🗸 No Recruitment 0 Next 0 Consent

19. Declaration

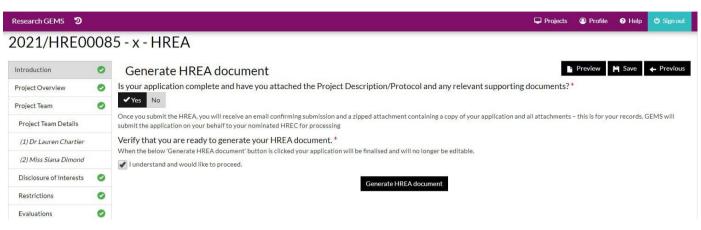
- i. All study personnel will receive an email notification to complete this declaration page
- ii. Select 'Certify'

Research GEMS 🤊 Projects Profile Help O Sign ou 2021/HRE00085 - x - HREA Introduction 0 Declaration Preview 🎽 Save 🔶 Previous 🔶 Next This declaration must be completed by each of the researchers/investigators or, where applicable, one member on behalf of the research team. Project Overview 0 Consult your institution's policy for guidance on whether all members must sign this application or whether one member can sign on behalf of the research tea Project Team 0 You can use the share feature to have other researchers/investigators complete their declaration within this application - see the HREA How to Guide for details You can upload evidence of the other researchers/investigators' agreement to this declaration (e.g. a PDF of an email) Project Team Details · You can have researchers/investigators sign this application after it is completed and printed (i.e. a 'wet ink' signature) • Ensure you answer Q1.9.11 for each team member <u>before</u> completing this section. (1) Dr Lauren Chartier · Electronic acceptance linked to user profile. (2) Miss Siana Dimond 04.7 J. Dr Lauren Chartier, certify that: Disclosure of Interests 0 All information in this application and supporting documentation is correct and as complete as possible; · I have read and addressed in this application the requirements of the National Statement and any other relevant guidelines; Restrictions 0 I have familiarised myself with, considered and addressed in this application any relevant legislation, regulations, research guidelines and organisational policies;
 All relevant financial and non-financial interests of the project team have been disclosed; and 0 Evaluations • In the capacity of a supervisor, as applicable, I have reviewed this application and I will provide appropriate supervision to the student(s) in accordance with the arrangements specified in this application and those associated with the student's educational program Location 0 Certify 0 Methods Participants 0 + Next 0 Method Specific



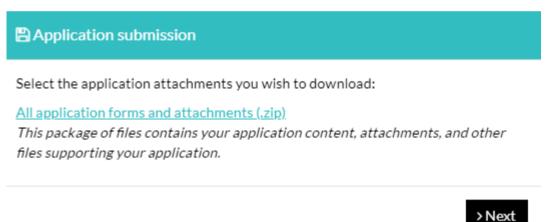
20. Generate HREA document

- i. If all information is correct and attachments are uploaded, select 'Yes' and tick 'I understand and would like to proceed'
- ii. Click 'Generate HREA document'



HREA Application is now submitted

1. You can download a zip file of the HREA and all attachments by selecting the blue underlined text in this box



- 2. HRE application will now appear as 'Submitted' on the Project homepage
- 3. SSA's applicable to the HREA will be automatically generated and appear as 2021/SSA00XXX and 'In Progress'
- 4. You will receive updates on your application on GEMS through email correspondence



Site Specific Assessment

- **A.** Once you have submitted the project registration and completed (submitted) the HREA you can proceed to creating the SSA
 - i. **Please note:** the coordinator/research personnel can add information to the SSA, however <u>only the PI will be able to submit the SSA</u>



- ii. Click on your identifier number (e.g. 2021/SSA000XX)
- iii. This will then prompt you to fill in the SSA

B. Part A – Project Wide Information

- i. Most of this section is pre-filled from the Project Registration
- ii. Please check the details to ensure they are correct
- iii. Please note: If this is a clinical trial, please use the items 'Clinical Trial Phase Phase X" do not use the class phases.
- iv. Then proceed to click "Next"

C. Part B – Site Team

- i. This is where you will add in details about your Investigator(s)
- ii. Please ensure you enter the correct phone number (not the hospital switch board number), position, employer and department
- iii. Please Note: Employer must be CALHN and not RAH/TQEH
- iv. In B7 You can add 1 administrative staff to receive correspondence about the study (this should be the main study coordinator)
- v. In B8 Add site team members (associate investigators)
- vi. Provide the details requested then click 'Next'
- vii. The next tabs will be about the Investigators/Study Team you have added, please fill in these details and click "Next" once complete

D. Part C – Departments & Services

- i. This is where you will add the Medical Lead, Head of Department and any Supporting Departments for declarations/approvals.
- ii. You will need to add the Medical Lead first



- 1. Select the drop down list and click on the appropriate Medical Lead for your stream. Medical Leads are named in the following naming convention:
 - a. RAH: Surgery 2: Medical
 - b. RAH: Surgery 2: Allied Health
 - c. RAH: Surgery 2: Nursing
- 2. This will then pre-fill the name and email address of the Medical Lead.
- 3. You will then need to fill in sections C4&5
- 4. In section C5: select "Lead" for the Medical/Allied Health/Nursing Lead
- iii. Proceed to add the Head of Department
 - 1. Press the "+" button to add another department
 - 2. Select the drop down list and click on the appropriate Head of Department for your stream. The naming conventions for Head of Departments are as follows:
 - a. RAH: Surgery 2: Ophthalmology
 - b. RAH: Surgery 2: Vascular Surgery
 - c. RAH: Surgery 2: Urology
 - 3. Please Note: the details of the Heads of Department were not migrated into the system as they are constantly being updated. Therefore you will need to provide the Head of Department with the declaration form and gain their approval via email or signature, then upload to the clinical trial share drive along with all other supporting documentation
 - 4. Then fill in section C4&5
 - 5. In section C5: select "Supporting" for the specific department the study will be run in.
- iv. Add any other Supporting Departments the same way as the Head of Department and gain their approval outside of the system.

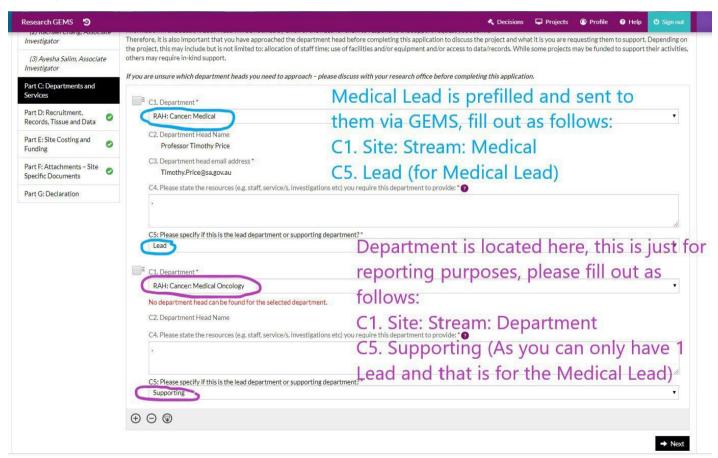
Research GEMS 🤊	< Decisions 🖵 Projects 🕲 Profile 😢 Help 🙂 Sign out
Part B: Site Team Contemporation Site project team members details (1) Jan-Louise Durand, Associate Investigator Part C: Departments and Services Part D: Recruitment, Records, Tissue and Data Dect E: Site Costing and	In this section, please specify all departments/locations involved in the research at this site where resource/s (staff, service/s and/or investigations) will be used - a 'department head' will heed to be identified against each nominated department. <i>Please note: the 'Head of Department' for any SA Health staff undertaking roles of either Pl or back-up Pl (an Associate Investigator) for this project at this site must be listed in this section.</i> In this section, please specify all departments/locations/divisions/units where resource/s (staff, service/s and/or investigations) will be used. Please note the 'Head of Department' terminology is synonymous with 'Divisional Director', 'Head of Unit' or 'Medical Lead' depending on the Local Health Network. If you are unsure which department head' syn page a 's department' terminology is synonymous with 'Divisional Director', 'Head of Unit' or 'Medical Lead' depending on the Local Health Network. If you are unsure which department provals. A pre-populated declaration of support for each nominated department head (including a complete copy of this SS and its attachments) will be generated on completion of this SS at utilising the information in this section. Each Head will be notified by email of the need for them to respond to the support request you submit. Therefore, it is also important that you have approached the department head before completing this application to discuss the project and what it is you are requesting them to support their activities, others may include but is not limited to: allocation of support. <i>If you are unsure which department heads you need to approach – please discuss with your research office before completing this application.</i>
Part E: Site Costing and Funding	C1. Department *
Part F: Attachments – Site Specific Documents	•
Part G: Declaration	No department head can be found for the selected department. C2. Department Head Name C4. Please state the resources (e.g. staff, service/s, investigations etc) you require this department to provide: * 🕢
	C5: Please specify if this is the lead department or supporting department?*
	$\oplus \ominus \otimes$

v. Naming conventions can be seen here:



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	In this section, please specify all departments/locations involved in the research at this site where resource/s (stair, service/s and/or investigations) will be used - a 'department head' will need to be
Part B: Site Team	Identified against each nominated department.
	Please note: the 'Head of Department' for any SA Health staff undertaking roles of either PI or back-up PI (an Associate Investigator) for this project at this site must be listed in this section.
Site project team members details	In this section, please specify all departments/locations/divisions/units where resource/s (staff, service/s and/or investigations) will be used. Please note the 'Head of Department' terminology is
details	synonymous with 'Divisional Director', 'Head of Unit' or 'Medical Lead' depending on the Local Health Network. If you are unsure which department heads you need to approach - please discuss with
(1) Jan-Louise Durand,	your research office before completing this application. If you are accessing pharmacy services, please ensure you include pharmacy department approvals.
Associate Investigator	
	A pre-populated declaration of support for each nominated department head (including a complete copy of this SSA and its attachments) will be generated on completion of this SSA utilising the information in this section. Each Head will be notified by email of the need for them to respond to the support request you submit.
Part C: Departments and Services	mormation in this section, each need will be notified by their or then to be provided in the need of them to especial or the support request you submit. Therefore, it is also important that you have approached the department head before completing this application to discuss the project and what it is you are requesting them to support. Depending
Services	the project, this may include but is not limited to: allocation of staff time; use of facilities and/or equipment and/or access to data/records. While some projects may be funded to support their activity
Part D: Recruitment, Record	s, others may require in-kind support.
Tissue and Data	
	If you are unsure which department heads you need to approach – please discuss with your research office before completing this application.
Part E: Site Costing and Funding	
Funding	C1. Department
Part F: Attachments - Site	RAH: Acute and Urgent Care: Geriatrics
Specific Documents	
Part G: Declaration	Gienside: Mental Health: Inpatient Mental Health
Part G. Declaration	Hampstead: Neuroscience & Rehabilitation: General Rehabilitation
	Hampstead: Neuroscience & Rehabilitation: SA Brain Injury Rehabilitation Service Hampstead: Neuroscience & Rehabilitation: SA Spinal Cord Injury Services
	RAH: Mental Health: Allied Health
	RAH: Acute & Urgent Care: Allied Health
	RAH: Acute & Urgent Care: Medical RAH: Acute & Urgent Care: Nursing
	RAH: Acute and Urgent Care: Acute Assessment Unit(s)
	RAH: Acute and Urgent Care: Burns Service
	RAH: Acute and Urgent Care: Emergency Department RAH: Acute and Urgent Care: General Medicine
	RAH: Acute and Urgent Care: General Medicine RAH: Acute and Urgent Care: General risk
	RAH: Acute and Urgent Care: Patient Flow & RAH/TQEH Afterhours
	RAH: Acute and Urgent Care: Trauma Service RAH: Acute: Adolescents & Young Adults
	KAH: Cancer: Adolescents & Young Adults RAH: Cancer: Allied Health
	RAH: Cancer: Haematology
	RAH: Cancer: Medical

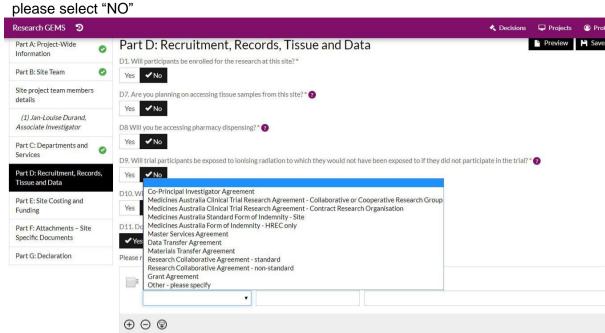
Once you have finished, it should look like this as an example:



E. Part D - Recruitment, Records, Tissue & Data



- i. Answer questions 'Yes' or 'No' from D1 to D11
- ii. For D11, "Do you have any agreements or contracts for this project?"



F. Part E – Site Costing and Funding

i. Click 'No' to both options.

Research GEMS 🔊		🔦 Decisions	🖵 Projects	Profile	Help	🖒 Sign out
2021/SSA0019	8 - Evaluation of Processes - Royal Adelaide Hospital					
Part A: Project-Wide 🥏	Part E: Site Costing and Funding For complex projects such as Clinical Trials, a detailed project costing using a template may be required by the site in order	to ensure that the co	Preview		Previous	
Part B: Site Team 🥏	the costs are transparent so that the financial implications can be assessed based on sound information.			acrescaren ar	crony core	cal and of char
Site project team members details	Please contact your local research office to discuss - they may have a standard budget template to be used.	nformation included	in any contract/	agreement) th	at has been	acknowledged
(1) Eyllinee White, Associate Investigator	and agreed to, please upload at Part F.					Ū
Part C: Departments and Services	Yes Vo					
Part D: Recruitment, Records, Tissue and Data	E2. Are there any non-financial costs (e.g. local resource allocations) associated with the project?* Yes Vo					
Part E: Site Costing and Funding						→ Next
Part F: Attachments - Site Specific Documents						
Part G: Declaration						

G. Part F – Attachments/Site Specific Documents

i. As documents were previously uploaded to the HREA you do not need to upload any further documents at this stage (as all documents should have been uploaded against the HREA. If you did not do this, please contact CALHN Research Services Ethics team). At this stage, please create a study folder in the Clinical Trials Share Drive under your unit and save all CVs, GCP Certificates, Study Team declarations, Head of Department approvals and study specific douments.



- ii. Check that the documents did come across from the project registration/HREA as it should appear as below
- iii. Then click 'Next'

Part A: Project-Wide	Part F: Attachments - Site Specific Documents			H Save ← Previous → N
Part B: Site Team	Document Title Hrea-1-10-FEB-2021	Document type		Clear content selection
	1100 1 10 100 2021	Ethics applicat	tion (HREA or othe 🔻	(GEMS steps.docx) [Open]
Site project team members details (1) Jan-Louise Durand,	Document type * Document descriptor *	Document version *	Document date*	
Associate Investigator	Ethics application decision notif	1	10/02/2021	Clear content selection
Part C: Departments and Services				(Research GEMS.docx) [Open] Maximum file size is
Part D: Recruitment, Records, Tissue and Data				20.00 MB
Part E: Site Costing and Ø	$\oplus \ominus \circledast$			
Part F: Attachments – Site Specific Documents				→ 1
Part G: Declaration				

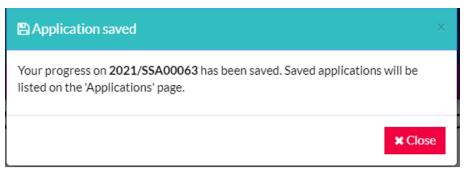
H. Part G – Declaration

i. As the PI has to submit the SSA, if you are not the PI completing the SSA you will need to save the application by clicking 'Save' in the top right-hand corner. You will then need to notify the PI that the SSA is ready to be submitted (the PI's email address is on the declaration page – please ensure this is the same email address for their GEMS login)

Research GEMS 🤊 Projects Decisions Profile Help 2021/SSA00063 - Evaluation of Research Office - Royal Adelaide Hospital Preview 🎽 Save 🔶 Preview Part A: Project-Wide Part G: Declaration 0 Information G1 Declaration by the Principal Investigator responsible for the site Part B: Site Team 0 By clicking the button below I confirm that: 1. the information provided is truthful and accurate to the best of my knowledge and belief and I take full responsibility for this project at this site Site project team members 2. all members of the research team at this site have the appropriate qualifications, training, experience and facilities to conduct the research as set out in this application and to deal with any details emergencies and contingencies related to the research that may arise; 3.1 will ensure all team members receive any additional relevant training as required; 4.1 will not start this research project at this site until I have received confirmation of site authorisation in writing from the Research Office and, that this will not be before evidence is received by (1) Jan-Louise Durand. Associate Investigator them (provided by me) of ethics approval by an appropriate Human Research Ethics Committee (HREC); 5. I accept responsibility for the conduct of this research project according to the principles of the NHMRC National Statement on the Ethical Conduct in Human Research (as amended) and the Part C: Departments and Australian Code for the Responsible Conduct of Research (as amended) and, where applicable, Note for Guidance on Good Clinical Practice 6. If authorised to undertake this project at Royal Adelaide Hospital (this site), 0 Services a. I will inform the Research Office if the research project ceases before the expected date; b. I will discontinue the research at this site if the HREC withdraws ethical approval; Part D: Recruitment. 0 Records, Tissue and Data c. I will adhere to the conditions of authorisation stipulated by the authorising authority at this site including any monitoring/reporting requirements; d. I will discontinue the research at this site if the authorising authority withdraws authorisation: Part E: Site Costing and 7.1 understand and agree that project files and documents and research records and data may be subject to inspection by delegates of the authorising authority at this site (generally the Research 0 Funding Governance Officer) for audit and monitoring purposes, AND 8. I understand that information relating to this research, and about me as an investigator, will be held within SA Health information systems and other local data collections. This information may Part F: Attachments - Site 0 be used for reporting purposes and managed according to the principles established in the Privacy Act 1988 (Cwth) and relevant laws in the States and Territories of Australia. Specific Documents Name of Principal Investigator Part G: Declaration Siana Dimond Siana.Dimond@sa.gov.au

ii. Once you hit save, a pop up box will appear which states the below:





iii. The project should then appear in the PI's project list when they log in. To access the projects section, click 'Projects' on top right-hand corner

Rese	arch GEMS 🤊							Projects	Profile	🕑 Help	එ Sign out
Pro	ojects										
GEMS	is structured with the following hierar	chy: Project>>>Applica	ations>>>Post-app	proval/authorisation Ar	nendments, Report	ts and Safety Notifications - lis	ted below are all the pro	jects you curre	ntly have acces	ss to.	
n orde	er to submit an application (ethics and/	'or site-governance), yo	u must first registe	er the project - you can	do that here by sele	ecting the <i>+New Project</i> butto	in below.				
	elow are your projects. Click the link to New Project	o open and manage you	r project.								
🕹 E	entries Show 10 • entries								Search:		
	‡ Title	Identifier	Status	Ethics approved	Expiry date	Principal organisation	Overdue milestone:	s 🗢 🏶 Revisio	on milestones	\$ Total	milestones
:	Evaluation of Research Office	2021/GEM00076	Registered	10 Feb 2021	10 Feb 2026	SA Health	• 0	• 0		0	
showi	ng 1 to 1 of 1 entries								<	Previous	1 Next

- iv. Once PI has logged in, they need click on the 'Title' (Project that is applicable for the submission)
- v. Then click SSA application that you are the PI for (your/their site)
- vi. Click the blue Identifier title of your application this will only let you choose your own site to submit. You will not be able to submit other PI's SSA's
- vii. This will then take the PI directly to Section G Declaration, where the PI needs to select 'Complete SSA'

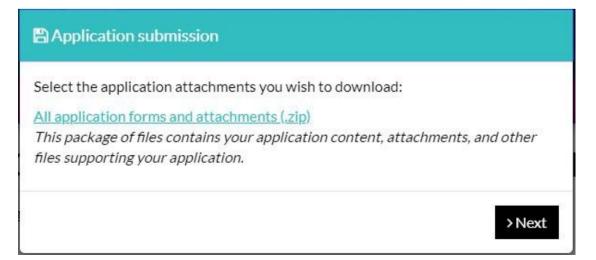


art A: Project-Wide 🥏	Part G: Declaration	Preview 🂾 Save 🔶 Previous		
art B: Site Team 🛛 📀	 G1 Declaration by the Principal Investigator responsible for the site By clicking the button below I confirm that: the information provided is truthful and accurate to the best of my knowledge and belief and I take full responsibility for this project at this site; all members of the research team at this site have the appropriate qualifications, training, experience and facilities to conduct the research as set out in this application and to deal with emergencies and contingencies related to the research that may arise; I will ensure all team members receive any additional relevant training as required; I will not start this research project at this site until I have received confirmation of site authorisation in writing from the Research Office and, that this will not be before evidence is received by me) of ethics approval by an appropriate Human Research Ethics Committee (HREC); 			
te project team members etails				
(1) Jan-Louise Durand, ssociate Investigator				
art C: Departments and or contract of the cont	 S. I accept responsibility for the conduct of this research project according to the principles of the NHMRC National Statement on the Ethical Conduct in Human Research (as amended) and Australian Code for the Responsible Conduct of Research (as amended) and, where applicable, Note for Guidance on Good Clinical Practice. If authorised to undertake this project at Royal Adelaide Hospital (this site), 			
art D: Recruitment, ecords, Tissue and Data	 a. I will inform the Research Office if the research project cases before the expected date; b. I will discontinue the research at this site if the HREC withdraws ethical approval; c. I will adhere to the conditions of authorisation stipulated by the authorising authority at this site including any monitoring/reporting requirements; d. I will discontinue the research at this site if the authorising authority withdraws authorisation; 7. I understand and agree that project files and documents and research records and data may be subject to inspection by delegates of the authorising authority at this site (generally the Governance Office) for audit and monitoring purposes. AND 	iding any monitoring/reporting requirements;		
art E: Site Costing and 🛛 🥏		spection by delegates of the authorising authority at this site (generally the Researc		
art F: Attachments - Site 🥏	8. I understand that information relating to this research, and about me as an investigator, will be held within SA Health information systems and other local data collections. This informat be used for reporting purposes and managed according to the principles established in the Privacy Act 1988 (Cwth) and relevant laws in the States and Territories of Australia.			
art G: Declaration	Name of Principal Investigator Siana Dimond			
	Siana.Dimond@sa.gov.au			

- Research GEMS ¹ Projects ¹
 - GEMS is creating your documents in the background, this may take a few minutes. Please don't refresh or navigate away from this page.

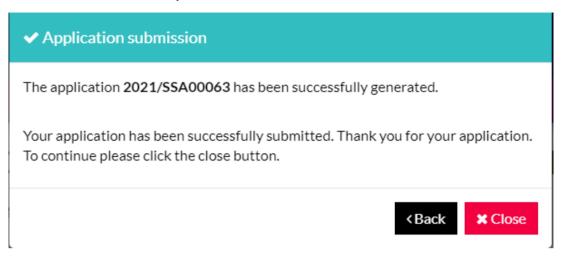


ix. A pop-up box will appear (as below) then click 'Next'





x. Another pop-up box will appear to let you know the project has successfully been submitted > click close



xi. The status of the project should then change from 'In Progress' to 'Submitted'

Research GEMS 🥲		🖵 Proj	ects 💿 Profile	e 🕜 Help	🕲 Sign out
Project	2021/GEM00076 - EVALUATION OF RESEARCH	OFFICE			
Project details	Details relating to your Project can be found on this page.				
Applications	Once the status of an Ethics or Governance application is Approved/Authorised, various Amendments may need to be raised to support your application.				
Sontacts	Once the status of an Ethics of Governance application is Approved, Authorised, various Amendments may need to be raised to support your application.				
i Details	Click on the 3 vertical dots next to the relevant study, and select Project Information to access the available Post A	Approval Forms fo	r your application	1.	
Documents	For further information on other functions, such as adding new sites or sharing your application, please refer to the	e Research GEMS	User Guides.		
ී History «	Applications				
	Lexport CSV Show 10 • entries		Searc	h:	
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	2021/SSA00064 Evaluation of Research Office - The Qu	In Progress	Eyllinee White	11/02/2021 1	.0:22:43 AM
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xii. Please email <u>Health.CALHNClinicalTrials@sa.gov.au</u> to notify CALHN Research Services that your application has been submitted and is ready for processing



Project Registration

Project Registration	 Login/Register: https://gems.sahealth.sa.gov.au/ Projects Page: View all projects that you have created or are assigned to you Add new project The first step in initiating your human research project in GEMS is to register it. By completing a project registration, GEMS will identify if a Human Research Ethics Application (HREA) or Site Application (SSA), or both, are required. Before you begin your application ensure that you have your project details, research site information, PI details and documentation ready.
Part A: Previous Ethics Applications	 Internal Ethics Approval (CALHN HREC) The project has not been previously submitted to a recognised HREC (in GEMS) Once submitted GEMs will create a HREA application and a SSA application for each SA Health site added
Part B: Project Details	Ensure everything is entered precisely. <u>After submission you will not</u> be able to edit your project registration.
Part C: Research Site(s)	 The Owner/PI has the responsibility for the study at the site and is the only person who can submit the Site/SSA Application. This responsibility cannot be delegated to another role or user. The person who created Project Registration (if different to the PI) is allocated automatic shared – edit access to the site application and is also able to share the application with other users. Ability to share application, "Invite to Register"- Select the level of access you are requesting for the user. If the email address is recognised as a registered GEMS account a message will pop up and you will be guided to Share. Add all SA Health Sites for your project If you miss a site and submit the project registration, you must add it as a site amendment. <u>Do not use the "New Site" button above "Applications".</u> If you use this method, you will have to withdraw the SSA created and re-submit using the site amendment method
Part D: Coordinating Principal Investigator	 If you are the CPI, select 'yes'. If you are not the CPI, select 'no' and enter the email address of the CPI. If the CPI is listed in GEMS their email address will appear for selection. If the CPI is not listed in GEMS, you will need to invite them to register before you can complete registration. If you do not assign the correct the CPI, this will have a flow on effect and will delay your application



Part F: Upload Attachments	 Please note: GEMs will not allow the project to be submitted if the documents have not been uploaded The supporting documentation is uploaded to the Clinical Trials Share Drive Please note: there is a maximum file size of 20.00MB to upload per file
Submit	 Before you "Complete Registration" ensure all documents have been uploaded and all sites have been added On this page you can see the applications that will be generated from your project registration The following applications will be generated: SSA for each of the following SA Health sites: Royal Adelaide Hospital, Siana Dimond (PI) The Queen Elizabeth Hospital, Eyllinee White (PI)

<u>HREA</u>

- Fill in the HREA as per the questions asked.
- Ensure ALL study documents are uploaded to the 'Upload' tab. As only, the documents uploaded will pull across to the approval letter.



SSA Application

SSA Application	The coordinator/research personnel can add information to the SSA, however only the PI will be able to submit the SSA
Part A: Project Wide Information	 This section will be prefilled- the information will be taken from your project registration Ensure all the details are correct
Part B: Site Team	 Add site team members and administrative staff. Please add staff in who will also be actioning post-approval monitoring For all clinical trials, please nominate one associate investigator (AI) who will act as a back-up/substitute for the site PI if they are not able to be contacted. You will not be able to proceed to the next step without adding an AI. Please note: You must add in an AI to progress forward with the site application. You can select the PI again if there is none.
Part C: Departments & Services	 This is where you will add the Medical Lead, Head of Department and any Supporting Departments for declarations/approvals. The details of the Heads of Department/Supporting Head of Departments were not migrated into the system as they are constantly being updated. Therefore you will need to provide the Head of Department with the declaration form and gain their approval via email or signature, then upload to the clinical trial share drive along with all other supporting documentation
Part D: Recruitment, Records, Tissue & Data	 Upload agreements to share drive. Under "Agreement Location" please select "No"
Part E: Site Costing & Funding	 Select No Select No Do not enter any details into this section
Part F: Attachments/Site Specific Documents	 Check that the documents are attached. Create a study folder in the Clinical Trials Share Drive and save any supporting documents and declarations to folder
Part G: Declaration	 Only the PI will be able to submit the SSA PI's will not be able to submit SSA's assigned to another PI



Approval / Authorisation Delegation

You will need to provide the Head of Department declaration in your supporting documents (uploaded to the share drive).

Medical Lead approval will come via Research GEMS.

Correspondence

Email template to notify CALHN Research Services once completed application has been submitted via Research GEMS:

Dear CALHN Research Services,

Site Unit Name (e.g. RAH Medical Oncology) has now submitted a SSA for the below study:

Project Title:	XXX
Protocol:	XXXX
Principal Investigator:	XXX
Program Directory:	XXX
HREC Reference Number:	202X/HREXXXXX or External HREC Number
SSA Reference Number:	202X/SSAXXXX
CALHN Reference Number:	MYIPXXXXX

You can find the supporting documentation located here: <provide link to share drive>

<insert email signature>

*ensure telephone number is on this email in case we need to call you

Links & Resources

For more resources and general information about Research GEMS please visit the SA Health website where information is continually being updated: Resources include:

https://www.sahealth.sa.gov.au/wps/wcm/connect/public+content/sa+health+internet/about+us/health+a nd+medical+research/research+gems/research+gems+user+guides

- General User guides
 - Creating and managing a user account
 - Updating username and password
 - Status definitions and glossary

Researcher User guides

- Project Registration
 - Project Registration guide
 - Sharing access to a project
 - Withdrawing an application
 - Guidance for COVID-19 data collection
- o Ethics Applications
 - Resubmitting an ineligible application
 - Downloading your ethics application



- <u>Ethics Post Monitoring Approvals (Amendments, Safety and Progress Reports)</u>
 Completing and submitting an ethics amendment
 - Responding to an amendment information request
 - Submitting an annual progress or final report (milestone)
 - Submitting a clinical safety report
- o Governance Application
 - Resubmitting an ineligible application
 - Creating a new site application
 - Completing, requesting and submitting Head of Department Support
 - Head of Department Not supported
 - Completing the site application part C: department and services guide
- <u>Governance: Post-approval (amendments, local safety reports, progress reports)</u>
 - Completing and submitting a site amendment
 - Responding to a site information request
 - Submitting a governance milestone
 - Submitting a clinical trial safety report

Contact Details

For all technical errors/issues and feedback, please contact the Research GEMS Project team at: <u>gems@sa.gov.au</u>

For all study related questions in regards, please contact CALHN Research Services: <u>Health.CALHNClinicalTrials@sa.gov.au</u> or <u>Health.CALHNResearchGovernance@sa.gov.au</u>

